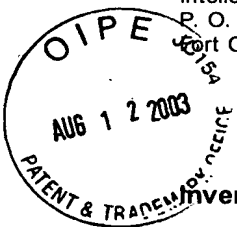


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IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Peter Camble et al.

Confirmation No.:

Application No.: 10/034,518

Examiner:

Filing Date: Dec. 28, 2001

Group Art Unit:

Title: SYSTEM AND METHOD FOR SECURING DRIVE ACCESS TO MEDIA BASED ON
MEDIUM IDENTIFICATION NUMBERS

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

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INFORMATION DISCLOSURE STATEMENT

Technology Center 2100

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before
mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97 (c) together with either a:
☐ Statement under 37 CFR 1.97(e), or
☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:
☐ Statement under 37 CFR 1.97(e)(1) or (2), and
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00. At any time during the
pendency of this application, please charge any fees required or credit any overpayment to Deposit
Account **08-2025** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with
copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s)
believe(s) may be material to the examination of this application and for which there may be a duty to
disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications
and other foreign language information listed on PTO Form 1449, as presently understood by the
individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the
attached sheet, or where a foreign language patent is cited in a search report or other action by a
foreign patent office in a counterpart foreign application, an English language version of the search
report or action which indicates the degree of relevance found by the foreign office is listed on form PTO
1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

☒ I hereby certify that this correspondence is being
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Typed Name: John Pallivathukal

Signature:

Respectfully submitted,

Peter Camble et al.

By

Jerry L. Mahurin

Attorney/Agent for Applicant(s)

Reg. No. 34,661

Date: August 8, 2003

Telephone No.: (214) 855-8386

PATENT APPLICATION

Sheet 2 of 2

FORM PTO-1449

ATTY. DOCKET NO.

30014514-1

APPLICATION NO.

10/034,518

CONFIRMATION NO.

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

APPLICANT

Peter Camble et al.

FILING DATE

Dec. 28, 2001

GROUP

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	2A	6,507,896	01/14/2003	Sanada et al.	
	2B	6,535,964	03/18/2003	Sanada et al.	
	2C	6,044,442	03/28/2000	Jesionowski	
	2D	5,416,914	05/16/1995	Korngiebel et al.	
	2E	5,164,909	11/17/1992	Leonhardt et al.	
	2F				
	2G				
	2H				
	2I				
	2J				
	2K				

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	2L					
	2M					
	2N					
	2O					
	2P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

2Q	MASSIGLIA, P., "The Raid Book", 6th edition, February 1997, 83 pages
2R	
2S	

EXAMINER

DATE CONSIDERED

PATENT APPLICATION

Sheet 1 of 2

FORM PTO-1449

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	5,805,864	09/08/1998	Carlson et al.	
	1B	5,970,030	10/19/1999	Dimitri et al.	
	1C	6,038,490	03/14/2000	Dimitri et al.	
	1D	6,085,123	07/04/2000	Baca et al.	
	1E	6,336,172	01/01/2002	Day, III et al.	
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	1G	5,867,736	02/02/1999	Jantz	
	1H	5,890,014	03/30/1999	Long	
	1I	6,295,578	09/25/2001	Dimitroff et al.	
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	1K	6,425,059	07/23/2002	Basham et al.	

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L	10269026	10-09-1998	Hitachi Ltd.		
	1M	09185465	07-15-1997	Fujitsu Ltd.		
	1N	2001014257	01-19-2001	Kubota corp.		
	1O	EP 1156408	11-21-2001	Asaca Corp.		
	1P	EP 0859308	08-19-1998	Fujitsu Ltd.		

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

1Q	Foreign Search Report dated 2/13/2003
1R	IBM Technical Disclosure Bulletin, "Optical Disk Drive Loader for Work Station with Pluggable Magazine", Vol. 38, No. 12, December 1955, pages 243-246
1S	IBM Technical Disclosure Bulletin, "Logical Grouping of Data Storage Media in a Library System", Vol. 35, No. 5, Oct. 1992 - pages 17-20

EXAMINER

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